Form 206—General Information (Articles of Organization – Professional Limited Liability Company)

The attached form is a standardized form designed to meet minimal statutory filing requirements pursuant to the relevant statutory provisions. This form and the information provided are not substitutes for the advice and services of an attorney and tax specialist.

- Article 1—Organization Name: Provide an organizational name; the name of the professional limited liability company must include the words "Professional Limited Liability Company" or the abbreviations "PLLC" or "P.L.L.C." A professional limited liability company may not adopt a name that is contrary to the law or ethics regulating the practice of a professional service rendered through the professional limited liability company. If the name chosen is the same as or deceptively similar to, or similar to the name of an existing corporation, limited partnership, or limited liability company, the document cannot be filed. The administrative rules adopted for determining entity name availability (Texas Administrative Code, Title 1, Part 4, Chapter 79, Subchapter C) may be viewed at www.sos.state.tx.us/tac/index.html. If you wish the secretary of state to provide a preliminary determination on "name availability," you may call (512) 463-5555 or e-mail your name inquiry to corpinfo@sos.state.tx.us. A final determination cannot be made until the document is received and processed by the secretary of state. Do not make financial expenditures or execute documents utilizing the name "pre-cleared." Also note that the pre-clearance of a name or the issuance of a certificate of incorporation under a name does not authorize the use of a name in violation of another person's rights to the name.
- Article 2—Registered Agent and Registered Office: The registered agent can be either an individual resident of the state (Option B) or any of the following organized or qualified in Texas with a business address which is the registered office address: partnership, limited partnership, limited liability company, foreign limited liability company, trust, estate, corporation, custodian, trustee, executor, administrator, or any other legal or commercial entity, in its own or representative capacity. (Option A) The organization however may not be designated to serve as its own registered agent. A post office box is not sufficient as a registered office address unless the registered office is located in a town with a population of less than 5,000.
- Article 3—Management: If the company is to be managed by managers, a statement that the company is to be managed by managers and the names and addresses of the person or persons who will serve as managers. If the management of the company is reserved to the members, a statement that the company will not have managers and the names and addresses of its initial members. Only one manager or member is required. Please note that only a person duly licensed to perform the professional service to be rendered by the professional limited liability company may be an owner, member, or manager of the professional limited liability company.
- **Article 5—Purpose:** The statement of purpose should be specific and not indicate that the professional limited liability company is organized for all lawful purposes under the Texas Limited Liability Company Act [TLLCA] or all lawful purposes under article 11.01 of the TLLCA.

Permissible Purposes: Generally, if the purpose of a limited liability company is to provide a professional service, it must be formed in accordance with Part Eleven of the TLLCA. Except as specifically provided by the TLLCA, a professional limited liability company may be organized **only for the purpose of rendering one specific type of professional purpose.** A "professional service" is defined as any type of personal service that requires that the person providing the service obtain a license, registration, or other legal authorization, prior to rendering that service. This includes the

personal services rendered by an architect, attorney, certified public account, dentist, doctor, veterinarian, nurse, or insurance agent.

Permitted Multi-Practice PLLCs: The following professionals are permitted to jointly form and own a professional limited liability company to perform professional services that fall within the scope of practice of those practitioners: (1) Persons licensed as doctors of medicine or osteopathy by the Texas State Board of Medical Examiners and persons licensed as podiatrists by the Texas State Board of Podiatric Medical Examiners; and (2) Professionals, other than physicians, engaged in related mental health fields such as psychology, clinical social work, licensed professional counseling, and licensed marriage and family therapy. The state agencies exercising regulatory control over professions to which these joint practice provisions apply continue to exercise regulatory authority over their respective licenses.

- **Supplemental Provisions:** Additional space has been provided for additional text to an article within this form or to provide for additional articles to contain optional provisions.
- **Organizer:** Only one organizer is required for the formation of a professional limited liability company. The organizer need not be licensed to render the professional service to be rendered by the company. An organizer may be a natural person 18 years of age or older or any other "person" as defined by article 1.02A(4) of the Texas Limited Liability Company Act. Only one organizer is required, but every person listed as an organizer must sign the document. City and state are a sufficient address for the organizer.
- Effective Date: Articles of organization become effective as of the date of filing by the secretary of state. However, pursuant to Article 9.03 of the Texas Limited Liability Company Act, the effectiveness of the articles of organization may be delayed as of a date not more than ninety (90) days from the date of filing with the secretary of state. Please note that upon the filing of a document with a delayed effective date, the computer records of the secretary of state will be changed to show the filing of the document, the date of the filing, and the future date on which the document will be effective. In addition, at the time of such filing, the status of the entity will be shown as active on the records of the secretary of state.
- **Execution:** The organizer must sign the articles of organization. Prior to signing, please read the statements on this form carefully. A person commits an offense under the Texas Business Corporation Act, the Texas Limited Liability Company Act or the Texas Non-Profit Corporation Act if the person signs a document the person knows is false in any material respect with the intent that the document be delivered to the secretary of state for filing. The offense is a Class A misdemeanor.

Payment and Delivery Instructions: Mail the completed form, together with the filing fee of \$200 to the address shown in the heading of the form. Personal checks and MasterCard, Visa, and Discover credit cards are accepted in payment of the filing fee. Checks or money orders must be payable through a U.S. bank or financial institution and made payable to the secretary of state. Fees paid by credit card are subject to a statutorily authorized processing cost of 2.1% of the total fees.

The delivery address is James Earl Rudder Office Building, 1019 Brazos, Austin, Texas 78701. Upon filing the document, the secretary of state will return the appropriate evidence of filing and a file stamped copy of the document, if a duplicate copy was provided for such purpose, to the submitter. The telephone number is (512) 463-5555, TDD: (800) 735-2989, FAX: (512) 463-5709.

Form 206 **(revised 9/01)**

Return in Duplicate to: Secretary of State P.O. Box 13697 Austin, TX 78711-3697 FAX: 512/463-5709

Filing Fee: \$200

This space reserved for office use.



Articles of Organization Pursuant to Article 1528n, Texas Limited Liabili

| ity | Company Act | |
|-----|--------------------|--|
| | | |

| | Article 1 | -Name | | | |
|---|--------------|------------------------|---------------|------------|---------------|
| The limited liability company is a professi | onal limite | ed liability compa | any. The na | me of the | company is: |
| | | | | | |
| The name of the entity must contain the words "Profession | | | | | |
| name must not be the same as, deceptively similar to or spartnership name on file with the secretary of state. A p. | | | | | or limited |
| Article 2 – Registered Agent and Re | | | • | | omplete C.) |
| A. The initial registered agent is an or | | | | | |
| OR | | | - | • | |
| B. The initial registered agent is an in | dividual r | esident of the stat | e whose is s | et forth b | elow. |
| First Name | M.I. | Last Name | | | Suffix |
| | | | | | |
| C. The business address of the registered | agent and | the registered off | ice address | is: | |
| Street Address | City | - | TX | Zip Code | |
| | | | 1A | | |
| Ar | ticle 3 – N | Ianagement | | | |
| A. The limited liability company is to | be manago | ed by managers. | The names a | and addres | sses of the |
| initial managers are set forth below: | | | | | |
| OR (Select either option A or option B; do not s | | | | | |
| B. The limited liability company will in | | | | | y is reserved |
| to the members. The names and addresses | of the ini | tial members are | set forth bel | ow: | |
| Manager/Mer | nber Name a | and Address Informa | tion | | |
| MANAGER/MEMBER 1 | | | | | |
| LEGAL ENTITY : The manager/member is a lega | l entity nam | ed: | | | |
| | | | | | |
| INDIVIDUAL : The manager/member is an individual | dual whose i | name is set forth belo | ow: | | |
| First Name | M.I. | Last Name | | | Suffix |
| | | | | | |
| ADDRESS OF MANAGER/MEMBER 1: | C:4 | | Ctata | 7: | C- 4- |
| Street Address | City | | State | Zip | Code |
| | | | | | |
| MANAGER/MEMBER 2 | 1 | 1 | | | |
| LEGAL ENTITY : The manager/member is a legal | i entity nam | iea: | | | |
| INDIVIDUAL: The manager/member is an individ | dual whose i | name is set forth belo | ow. | | |
| First Name | M.I | Last Name | | | Suffix |

| ADDRESS OF MANAGER/ | MEMBER 2: | | | | | |
|-----------------------------|---|-----------------|-------------------|-------------------|-----------------------|--|
| Street Address | | City | | State | Zip Code | |
| | | | | | | |
| MANAGER/MEMBER 3: | | | | | | |
| LEGAL ENTITY: The mana | ager/member is a leg | al entity named | : | | | |
| | | | | | | |
| INDIVIDUAL: The manager | member is an indivi | idual whose nai | me is set forth b | pelow. | | |
| First Name | | M.I. | Last Name | e | Suffix | |
| | | | | | | |
| ADDRESS OF MANAGER/ | MEMBER 3: | | | | | |
| Street Address | | City | | State | Zip Code | |
| | | | | | | |
| | | | | | | |
| | | Article 4 – D | uration | | | |
| The period of duration is | | | | | | |
| | | Article 5– P | | | 1 | |
| The company is organize | ed for the rendition | on of the prof | essional serv | rice set forth be | elow: | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | Suppleme | ental Provisi | ions/Inform | ation | | |
| Text Area | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| [The attached addendum at | re incorporated her | ein by referen | ce.] | | | |
| | | Organiz | | | | |
| The name and address of | the organizer is | set forth belo | w. | | | |
| Name | | | | | | |
| | T -:- | | | T =. | | |
| Street Address | City | | State | Zip | Code | |
| | 17/ | factive Date | of Filing | | | |
| | <u>. (1)</u> | ffective Date | of Filing | | | |
| A. This document w | ill become effecti | ive when the | document is | filed by the se | cretary of state. | |
| OR | - | | | | | |
| | ill become effecti | ve at a later | date, which i | s not more than | n ninety (90) days | |
| from the date of its filing | | | | | 3 \ / 3 | |
| | <u>, </u> | | | | | |
| | | Executi | on | | | |
| The undersigned organiz | er signs these art | icles of organ | nization subj | ect to the penal | ty imposed by article | |
| 9.02 of the Texas Limite | • | _ | • | - | | |
| document. | , 1 | • | | | | |
| | | | | | | |
| | | | | | | |
| Signature of organizer | | | | | | |